JC06 Rec'd PCT/PTO 15 SEP 2005 ATTORNEY'S DOCKET NUMBER FORM PTO-1390 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE P/4637-11 (REV. 2-2005) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) **10/**54943**3 CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PCT/ EP2004/002637 12 March 2004 18 March 2003 QUATERNARY CHELIDONINE AND ALKALOID DERIVATIVES, PROCESS FOR THEIR PREPARATION AND THEIR USE IN MANUFACTURE OF MEDICAMENTS APPLICANT(S) FOR DO/EO/US Wassyl NOWICKY Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. [XX] This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. 2. This is a **SECOND** or **SUBSEQUENT** submission of items concerning a submission under 35 U.S.C. 371. 3. [ ] This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. [XX] The US has been elected (Article 31). 5. [XX] A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. [ ] is attached hereto (required only if not communicated by the International Bureau). b. [XX] has been communicated by the International Bureau. c. [ ] is not required, as the application was filed in the United States Receiving Office (RO/US). 6. [XX] An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. [XX] is attached hereto. b. [] has been previously submitted under 35 U.S.C. 154(d)(4). 7. [XX] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. [ ] are attached hereto (required only if not communicated by the International Bureau). b. [ ] have been communicated by the International Bureau. c. [ ] have not been made; however, the time limit for making such amendments has NOT expired. d. [XX] have not been made and will not be made. 8. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. [XX] An unexecuted oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. [ ] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

- 11. [XX] An Information Disclosure Statement under 37 CFR 1.97 and 1.98, with the required PTO-1449 Form.
- 12. [ ] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [XX] A Preliminary Amendment.
- 14. [XX] An Application Data Sheet under 37 CFR 1.76. (Print EFS Form)

Items 11 to 20 below concern other document(s) or information included:

- 15. A substitute specification.
- 16. [ ] A power of attorney and/or change of address letter.
- 17. [ ] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825.
- 18. [ ] A second copy of the published International Application under 35 U.S.C. 154(d)(4).
- 19. [ ] A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4).
- 20. [XX] Other items or information (specify):postcard, check PCT/ISA/210 (Int'l Search Report), PCT/ISA/237 PCT/IB/304, 11 sheets of drawings, Figs. 1-9

**EXPRESS MAIL CERTIFICATE** 

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail Post Office to Addressee (mail label #EV606187870US) in an envelope addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 222-1450, on September 15, 2005:

**Dorothy Jenkins** 

Name of Person Mailing Correspondence

September 15, 2005
Date of Sign

<u> JC20 Rec'd PCT/PTO 1 5 SEP 2005</u> U.S. APPLICATION NOTHER LIBERT SECRET (F. R.S. 5) ATTORNEY'S DOCKET NUMBER INTERNATIONAL APPLICATION NO. PCT/EP2004/002637 P/4637-11 CALCULATIONS PTO USE ONLY \$300.00 The following fees have been submitted 21. [XX] Basic national fee..... 22. [XX] Examination fee \$200.00 If International preliminary examination report prepared by USPTO and all claims satisfy All other situations...... \$200 23. [XX] Search fee \$400.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the All other situations......\$500 TOTAL OF 21, 22 and 23 = \$900.00 Additional fee for specification and drawings filed in paper over 100 sheets \$ (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. Number of each additional 50 or RATE **Total Sheets** Extra sheets fraction thereof (round up to a whole number) -100 =/50 =x \$250 \$ Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). **CLAIMS** NUMBER FILED **NUMBER** RATE \$ **EXTRA Total Claims** 38 - 20 =18 x \$25 SE or \$50 \$450.00 Independent Claims 1 - 3 =0 x \$100 SE or \$200 \$0 MULTIPLE DEPENDENT CLAIM(S) (if applicable) + \$180 SE or \$360 **\$0** TOTAL OF ABOVE CALCULATIONS = \$0 [XX] Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above \$1,350.00 are reduced by 1/2. SUBTOTAL =\$1,350.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE = \$1,350.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + TOTAL FEES ENCLOSED = \$1,350.00 Amount to be \$ refunded: Amount to be charged: a. [XX] A check (Check No. 21895) in the amount \$1,350.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 15-0700 in the amount of \$\_\_\_\_\_ to cover the above fees. A duplicate copy of this sheet is enclosed. c. [XX] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0700. A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to privive (37 CFR 1.137(a) or (b)) must be filed and granted to

restore the International Application to pending status.	
SEND ALL CORRESPONDENCE TO: Mark A. Farley, Esq. Ostrolenk, Faber, Gerb & Soffen, LLP 1180 Avenue of the Americas	I Ch C, Farley
	Registration Number: 33,170 September 15, 2005
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New York, New York 10036-8402 Form PTO-1390 (REV 2-2005)

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